

Execution Venues used by iimia plc

As referred to in our MiFID Order Execution Policy these are the venues upon which iimia plc places its reliance in order to obtain the best possible results on a consistent basis when executing orders, or transmitting orders with other firms for execution on your behalf.

The classes of instrument for which we will execute orders are equities, debt instruments (i.e Gilts, Bonds and fixed income), units of collective investment securities, commodities, fixed income and derivatives.

Please note this list of Execution Venues is not exhaustive; it will be reviewed and updated in accordance with our Order Execution Policy. iimia plc reserve the right to use other Execution Venues additional to those listed here where appropriate, and to remove any Execution Venues from the list in accordance with our Order Execution Policy.

UK

London Stock Exchange

The Alternative Investment Market (AIM)

Firms authorised to operate Collective Investment schemes in accordance with section IV of the Financial Services and Markets Act 2000

Retail Service Providers / Market Makers

ABN AMRO

Arden Partners PLC

Canaccord Adams Limited

Collins Stewart Limited

Evolution Securities Limited

JPMorgan Cazenove Limited

KBC Peel Hunt Limited

Merril Lynch International

Morgan Stanley & Co. Int. PLC

Seymour Pierce Limited

UBS Limited

Arbuthnot Securities Limited

Barclays Capital Securities Limited

Cenkos Securities PLC

Dresdner Kleinwort Securities Limited

HSBC Bank PLC

JPMorgan Securities Limited

Landsbanki Securities Limited

MF Global UK Limited

Pershing Limited

Shore Capital Stockbrokers Limited

Winterflood Securities Limited

Overseas

A securities market established in any EEA State which is regulated, operates regularly and is open to the public.

The Australian Stock Exchange

The Hong Kong Stock Exchange

The New Zealand Stock Exchange

The NASDAQ Stock Market

The Toronto Stock Exchange

The Tokyo Stock Exchange

The New York Stock Exchange